

<input checked="checked" type="checkbox"/> FILED	<input type="checkbox"/> LODGED
<input type="checkbox"/> RECEIVED	<input type="checkbox"/> COPY
FEB 11 2014	
CLERK U S DISTRICT COURT DISTRICT OF ARIZONA	
BY _____	DEPUTY

**SEALED**

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF ARIZONA

United States of America,

Plaintiff,

v.

1. Marc Turi; and

2. Turi Defense Group,

Defendants.

CR-14-00191-PHX-DGC (DKD)

**INDICTMENT**

VIO: 22 U.S.C. § 2778(c), 22 C.F.R. §§  
126.1, 127.2 and 127.3  
(Violation of the Arms Export)  
Counts 1 and 3

VIO: 18 U.S.C. § 1001(a)(3)  
(Submitting a False Document to a  
Government Agency)  
Counts 2 and 4

THE GRAND JURY CHARGES:

**INTRODUCTION**

At all times material to this Indictment:

1. Between February and July 2011, the defendant, MARC TURI, individually and through his company, TURI DEFENSE GROUP, attempted to broker a substantial quantity of weapons - machine guns, sniper rifles, assault rifles, anti-tank rockets, rockets, and other high explosives and ammunition - to individuals in Libya, with knowledge that such activities were prohibited by United States law enacted to protect the national security and the foreign policy interests of the United States. After having been denied a brokering license to supply weapons to Libya, MARC TURI, and TURI DEFENSE GROUP then did submit brokering applications to the U.S. Department of State, Directorate of Defense Trade Controls, falsely listing Qatar and United Arab Emirates as the end users for weapons that were actually intended for individuals in

1 Libya.

2 **LIBYA**

3 2. In February of 2011, nationwide violence erupted in Libya following the Libyan  
4 government's suppression of protests against Libya's then leader Colonel Mu'ammarr  
5 Qadhafi in Benghazi, Libya. A political and military movement soon followed in Libya  
6 with the goal of overthrowing Colonel Qadhafi. That movement spurred the formation of  
7 a coalition of rebel groups - headquartered in Benghazi - that would later officially be  
8 known as the Transitional National Council ("TNC"), or the National Transitional  
9 Council ("NTC") (hereinafter "TNC").

10 3. The armed conflict between the TNC and Qadhafi forces caused the deterioration  
11 in the security of Libya, posed an immediate risk to the stability of the Libyan state, and  
12 posed an even greater risk to civilian safety. Indeed, Qadhafi forces had taken extreme  
13 measures against the people of Libya, including the unrestrained use of weapons of war,  
14 mercenaries, and violence against unarmed civilians.

15 4. Facing the immediate prospect of a Qadhafi lead attempt to slaughter rebel forces  
16 in Benghazi that would likely result in massive civilian casualties, the United Nations  
17 ("U.N.") passed Security Council Resolution 1970 on February 26, 2011. Resolution  
18 1970: (1) imposed an arms embargo and others arms restrictions on all U.N. member  
19 states; (2) imposed targeted sanctions on key regime figures; and (3) provided for civilian  
20 humanitarian assistance. On March 18, 2011, in an effort to halt the extreme violence  
21 that had escalated in Libya, the United Nations passed Security Council Resolution 1973.  
22 Resolution 1973 passed on March 18, 2011 and specifically: (1) authorized member  
23 states to take all necessary measures to protect civilians; (2) imposed a no fly zone; (3)  
24 authorized U.N. member states to take all necessary measure to enforce the arms  
25 embargo; (4) provided for freezing assets of Libyan authorities; (5) imposed aviation  
26 restriction; (6) imposed targeted sanction on more regime figures; and (7) established a  
27 U.N. panel of experts to improve sanctions implementation.

5. As a matter of United States foreign policy, "No brokering activities or brokering proposal may be carried out with respect to countries which are subject to United Nations Security Council arms embargo." See 22 C.F.R. § 29.5(d).

#### **ARMS EXPORT CONTROL ACT**

6. In furtherance of the security and foreign policy of the United States, the Arms Export Control Act ("AECA") (Title 22, United States Code, Section 2778) authorized the President of the United States to control the export of defense articles by designating those items which shall be considered as defense articles, by promulgating regulations for the import and export of such articles and services, and by imposing certain licensing requirements consistent with those regulations. The specific regulations governed by the AECA are set forth in the International Traffic in Arms Regulations ("ITAR"), 22 C.F.R. Chapter 1, Subchapter M, Parts 120 - 130.

7. The defense articles which are subject to such licensing requirements are designated on the United States Munitions List ("USML"). Those designations are made by the United States Department of State ("Department of State") with concurrence of the United States Department of Defense ("Department of Defense"). 22 U.S.C. § 2778(a)(1); 22 C.F.R. § 120.2. 13. The following items are defense articles covered by the USML (22 C.F.R. § 121.1) and are listed below by description and category:

<b>Description</b>	<b>Category</b>
RPK (machine gun)	I(a)
AKM (assault rifle)	I(a)
PKM (machine gun)	I(a)
DSHK (heavy machine gun)	I(a)
SVD Sniper (sniper rifle)	I(a)
Dragunov (sniper rifle)	I(a)
KPV (heavy machine gun)	I(a)
M46 B 130mm Towed Howitzer (long range artillery)	II(a)

1	Konkraus Launcher SPG 9 (recoilless rifle)	II(a)
2	Zu 23 Twin (double-barreled anti-aircraft cannon)	II(a)
3	60 mm (mortar)	II(a)
4	82 mm (mortar)	II(a)
5	120 mm (mortar)	II(a)
6	RPG 7 (anti-tank rocket propelled grenade launcher)	IV(b)
7	SPG 9 (high explosive anti-tank rocket)	IV(b)

8. In addition, the following ammunition are defense articles covered by the USML (22 C.F.R. Section 121.1) and all fall into either category II(a) or III(a):

**Description**

7.62 x 39mm	(assault rifle ammunition)
12.7 x 108mm	(sniper rifle ammunition)
14.5 x 114mm	(heavy machine gun ammunition)
23 x 152mm	(anti-aircraft ammunition)
7.62 x 54Rmm	(sniper rifle ammunition)
122mm Grad BM21	(unguided rocket)
Konkurs 9M113	(anti-tank missile)
VoG 30mm HE	(grenade, High Explosive)
SPG 9	(recoilless gun ammunition)
PG 9	(recoilless gun ammunition)
PG-7	(recoilless gun ammunition)
OG 9MZ	(recoilless gun Fragmentation HE ammunition)
TBFR 9	(recoilless gun Termobaric/Fragmentation ammunition)
130 mm	(anti-aircraft ammunition)
7.62 x 54mm	(assault rifle ammunition)

**A. Restrictions on Brokering Activities to Libya**

9. No brokering activities involving Libya may be carried out by any person without

1 first obtaining the written approval of the Department of State, Directorate of Defense  
2 Trade Controls ("DDTC"). It is the policy of the United States to deny licenses or other  
3 approvals for exports or imports of defense articles and defense services destined for or  
4 originating in Libya, except, on a case by case basis, for [certain non-lethal defense  
5 items]. 22 C.F.R. §§ 126.1 and 129.5.

6 **B. Brokering Activities**

7 10. A broker is defined under 22 C.F.R. § 129.2(a) as "any person who acts as an  
8 agent for others in negotiating or arranging contracts, purchases, sales or transfers of  
9 defense articles or defense services in return for a fee, commission, or other  
10 consideration."

11 11. "Brokering activities" are defined under 22 C.F.R. §129.2(b) as "acting as a broker  
12 as defined in § 129.2(a), and includes the financing, transportation, freight forwarding, or  
13 taking of any other action that facilitates the manufacture, export, or import of a defense  
14 article or defense service, irrespective of its origin.

15 **C. Broker's License**

16 12. The AECA prohibits a broker, or any other person as defined in 22 C.F.R. §  
17 129.2(b), from engaging in "brokering activities" without first obtaining a license from  
18 DDTC. 22 U.S.C. § 2778 (b)(1)(A)(ii)(III); 22 C.F.R. § 129.6.

19 13. The AECA applies to U.S. persons brokering foreign defense articles. 22 C.F.R. §  
20 129.2.

21 14. A broker's license application shall identify all parties involved in the proposed  
22 transaction and their roles, as well as outline in detail the defense article and related  
23 technical data (including manufacturer, military designation and model number), quantity  
24 and value, the security classification, if any, of the articles and related technical data, the  
25 country or countries involved, and the specific end use and end users. 22 C.F.R. §  
26 129.7(d).

27 //

**D. Misrepresentations and Omission of Material Facts**

15. It is unlawful, in a license application, to willfully and knowingly make any untrue statement of a material fact or omit to state a material fact required to be stated therein. 22 U.S.C. § 2778(c) and 22 C.F.R. § 127.3(b).

16. At no time material to this indictment, did defendants, MARC TURI, TURI DEFENSE GROUP, or others known or unknown to the grand jury receive prior approval of the Department of State, DDTC, to engage in brokering activities with Libya, the TNC, the NTC, or any Libyan end user as required by Title 22, Code of Federal Regulations, Sections 126.1 and 129.

**PARTICIPANTS**

17. MARC TURI is the president, director and treasurer of TURI DEFENSE GROUP, a company that purports, in part, to deliver NATO and non NATO equipment, technologies and capabilities to the United States and its allied nations. At all times material to this Indictment, MARC TURI lived in and worked from a location in the District of Arizona. MARC TURI and TURI DEFENSE GROUP are also registered with the United States Department of State as a broker and manufacturer of defense articles, and have a history of applying for and receiving both approvals and denials to broker defense articles that precedes the events in this Indictment. Between February 2011 and July 2011, MARC TURI and TURI DEFENSE GROUP worked with Y.A., A.Y., M.W., certain Libyans and others known and unknown to further the overall objective of supplying certain Libyans with defense articles.

18. A.D. is a United States citizen and is the president and owner of a weapons' brokering company incorporated in the United States. A.D. markets his company as an arms brokering company specializing in the sale of arms and munitions manufactured in Eastern Europe to foreign countries claiming compliance with United States' laws and regulations. A.D.'s company is registered with the United States Department of State as a broker and manufacturer of defense articles. A.D. and his company have a history of

1 applying for and receiving both approvals and denials to broker certain defense articles  
2 internationally that preceded the events detailed in this Indictment. A.D. initially agreed  
3 with MARC TURI, TURI DEFENSE GROUP, to supply certain Libyans with a  
4 substantial quantity of arms.

5 19. Y.A. is an Egyptian national known to be residing in and conducting business in  
6 the United Kingdom as a "broker." A.Y. is an individual working from a location in  
7 Cyprus. A.Y. is affiliated with a company purporting to be a reliable importer and  
8 exporter of bulk Russian-origin petroleum products furnished from "reputable and  
9 reliable producers and suppliers who can deliver high quality products at competitive  
10 prices." At all times material to this Indictment, Y.A. and A.Y. worked together and  
11 acted as the primary intermediaries between MARC TURI, TURI DEFENSE GROUP  
12 and members of the TNC. Between April 2011 and June 2011, MARC TURI and TURI  
13 DEFENSE GROUP worked with the Y.A. and A.Y. to supply arms and ammunition to  
14 members of the TNC.

15 20. M.T. is an Emirate national residing in and conducting business in the United  
16 Arab Emirates. At times material to this Indictment, M.T. had agreed to work with  
17 MARC TURI and TURI DEFENSE GROUP to assist in the movement of monies for the  
18 supply of arms and ammunition to the TNC.

19 21. M.W. was a dual citizen of the United States and Libya, and acted as a go-between  
20 for individuals associated with the TNC and A.Y.

21 22. A.B.G. is a business located in the United Arab Emirates (U.A.E.) with financial  
22 and political connections in the Middle East.

23 23. M.E.D. is a dual citizen of the United States and Libya, who served as a go-  
24 between for MARC TURI, TURI DEFENSE GROUP and individuals associated with the  
25 TNC.

#### 26 **FACTUAL ALLEGATIONS**

27 24. MARC TURI and TURI DEFENSE GROUP, and others known and unknown to  
28

1 the grand jury committed or caused to be committed the following acts, including but not  
2 limited to the following:

3  
4 (a) On or about March 12, 2011, MARC TURI and TURI DEFENSE GROUP  
5 submitted a "Letter of Prior Approval" to the DDTC - listing A.D. as an additional party -  
6 that sought permission to conduct certain brokering activities on behalf of the TNC  
7 involving \$195 million U.S. dollars' worth of Eastern European supplied light and heavy  
8 armament and ammunition to support that armament. DDTC labeled this application  
9 BA-L085-11.

10  
11 (b) On or about March 12, 2011, MARC TURI sent an electronic mail to Y.A. that  
12 indicated: (1) "[d]elivery is dependent on getting us proper signatures that have been  
13 requested"; (2) "[p]ayment conditions - [c]onsidering we are in a war payment must be  
14 100% in cash due upon signature of the contract"; (3) "[g]uarantee - all equipment will  
15 be NEW IN BOX and delivery to begin "immediately after export licenses"; and (4)  
16 "[p]lace of [d]elivery - [d]epends on circumstances especially if no fly zone is imposed  
17 but Benghazi is sufficient." MARC TURI then described the need to have end user  
18 certificates signed by the "Military Affairs liaison Mr. Omar Al Hariri," laid out  
19 requested payment details and noted that a "import certificate or import waiver certificate  
20 on official letter head" will need to be signed in person. Attached to the electronic mail  
21 was a contract for the sale of small arms to the TNC valued at approximately \$195  
22 million U.S. dollars along with pictures of small arms and ammunition.

23  
24 (c) On or about March 13, 2011, MARC TURI and TURI DEFENSE GROUP  
25 submitted a "Letter of Prior Approval" to the DDTC - listing A.D. as an additional party -  
26 that sought permission to conduct brokering activities on behalf of the TNC involving  
27 \$195 million U.S. dollars' worth of Eastern European supplied light and heavy armament  
28



1 and ammunition to support that armament. DDTC labeled this application BA-L079-11.

2  
3 (d) On or about March 22, 2011, the DDTC denied both of MARC TURI's  
4 application to broker weapons to the TNC in BA-L085-11 and BA-L079-11.

5  
6 (e) On or about March 25, 2011, MARC TURI sent an electronic mail to A.D.  
7 warning: "State department pulled the plug on us. Be careful!!!! I did everything I could  
8 in pentagon, senate select and state department. It's out of our hand until foreign policy  
9 changes to support ground forces." Attached to MARC TURI's electronic mail were  
10 copies of DDTC denials related to both BA-L085-11 and BA-L079-11.

11  
12 (f) On or about March 29, 2011, MARC TURI and TURI DEFENSE GROUP  
13 submitted a "Letter of Prior Approval" that sought to amend applications BA-L085-11  
14 and BA-L079-11 and, in effect, asked for reconsideration of DDTC's denials of both  
15 previously submitted applications. DDTC labeled this application BA-L102-11 and on  
16 May 3, 2011 DDTC returned without action MARC TURI and TURI DEFENSE  
17 GROUP's application indicating that no brokering applications would be approved as  
18 they might relate to Libya.

19  
20 (g) On or about March 29, 2011, MARC TURI and TURI DEFENSE GROUP  
21 submitted a "Letter of Prior Approval" that sought permission to conduct brokering  
22 activities on behalf of the Government of Qatar involving \$267 million U.S. dollars'  
23 worth of Eastern European supplied light and heavy armament and ammunition to  
24 support that armament. DDTC labeled this application BA L110-11 and on May 5, 2011,  
25 DDTC approved MARC TURI and TURI DEFENSE GROUP's application.

26  
27 (h) On or about March 30, 2011, MARC TURI sent an electronic mail to a U.S.-based  
28

1 consultant specializing in global security, defense issues and foreign policy ("Consultant  
2 #1") titled "Packing". In that electronic mail, MARC TURI indicated that he had  
3 attached a document containing details related to "[t]he first 12 flights of IL-76 aircraft  
4 (<http://turidefense.com/blog/?p=53>) with weights and dimensions [l]eaving Bulgaria . . .  
5 [c]urrently we are looking at a little town close to the Egyptian border to land the planes  
6 called Tubruq" and that "[w]e didn't use Benghazi since we heard as of 3 days ago the  
7 runway has holes in it."

8  
9 (i) On or about April 4, 2011, MARC TURI sent an electronic mail to Consultant #1  
10 titled "Operation". In that electronic mail, MARC TURI indicated that he had attached a  
11 document containing a "master sheet," that the "master sheet" is what he "did for Qatar"  
12 and that "[t]he price is lower for Libya but it can easily be modified."

13  
14 (j) The "master sheet" contained, in part, a list of small arms and ammunition and  
15 was titled "Libya". The "master sheet" was dated March 12, 2011, numbered "1001".  
16 The quantity of small arms and ammunition was identical, in type and quantity, to an  
17 attachment appended to MARC TURI and TURI DEFENSE GROUP's DDTC license  
18 application numbered BA-L110-11 which listed Qatar as the end-user.

19  
20 (k) On or about April 5, 2011, MARC TURI sent an email to a major small arms  
21 manufacturer in Bulgaria titled "RFQ - QATAR". In that electronic mail, MARC TURI  
22 indicated he had attached a "request for quote" for new production or surplus equipment  
23 with a destination of Qatar. Attached to the electronic mail was a "master sheet" which  
24 contained, in part, a list of small arms and ammunition that was titled "Qatar", was dated  
25 March 12, 2011, and numbered "1001". The quantity of small arms and ammunition was  
26 identical, in type and quantity, to the Libyan master sheet noted above.

1 (l) On or about April 5, 2011, MARC TURI sent an email to an individual using the  
2 electronic mail address "Manuel@usa-aircharter.com" with a "master sheet" attached that  
3 contained a list of small arms and ammunition, was titled "Libya", was dated March 12,  
4 2011 and was numbered "1001".

5  
6 (m) On or about May 11, 2011, MARC TURI sent an electronic mail to a U.S.-based  
7 business consultant specializing in Eastern European and Middle Eastern affairs  
8 ("Consultant #2") that indicated: (1) "[a]s of May 5th, 2011 BA#-L110-11 has been  
9 approved by the DDTC"; (2) "[t]he only way this deal will work is if the Qatar Govt is  
10 the end user, the Mod signs contract and end user certificate"; (3) "[w]e have the deal  
11 financed out of UAE"; (4) "[a]ll they ask in return is that they establish relationship with  
12 Jibril and the council for future oil relationship in Libya once the fighting settles down";  
13 and (5) "[i]n addition they would like to come to Benghazi to discuss."

14  
15 (n) On or about May 12, 2011, MARC TURI sent the same electronic mail described  
16 in paragraph 24(m) to Y.A., his London-based broker with the TNC. Attached to this  
17 electronic mail was the license approval for Qatar for BA-L110-11.

18  
19 (o) On or about May 12, 2011, MARC TURI sent an additional electronic mail to  
20 Y.A. titled "Sample EUC". The electronic mail indicated that MARC TURI had attached  
21 a sample EUC that "needs to be changed to Qatar, along with adding the supply list" and  
22 that "[w]e obviously will need it on MOD letterhead."

23  
24 (p) On or about May 19, 2011, MARC TURI received an encrypted electronic email  
25 from Consultant #2 that stated three members of the TNC government, including the  
26 Head of Military Procurement, TNC Misrauta Representative, and TNC Representative to  
27 Qatar, will meet MARC TURI and M.E.D., a facilitator, who had direct access to the  
28

1 TNC on Monday May 23, 2011 in Dubai.

2  
3 (q) On or about May 23, 2011, MARC TURI representing the TURI DEFENSE  
4 GROUP met with M.E.D., M.T. and individuals associated with the TNC and A.B.G in  
5 Dubai. The purpose of the meeting was to discuss the proposed TNC / Libyan arms deal  
6 between MARC TURI, the TURI DEFENSE GROUP and the TNC.

7  
8 (r) On or about May 26, 2011, in response to an electronic mail forwarded by Y.A.  
9 that described various TNC concerns with MARC TURI and TURI DEFENSE GROUP's  
10 proposed sale involving Qatar, MARC TURI indicated, among other things, "[e]nd user  
11 is Qatar . . . because US Dept of state denied Libya", the price is higher because "[t]he  
12 first list has different equipment", and "how Qatar gets reimbursed is for a separate  
13 discussion."

14  
15 (s) On or about May 31, 2011, MARC TURI sent an electronic mail to Y.A. and A.Y.  
16 titled "State Department Submissions". In the electronic mail, MARC TURI indicated  
17 that he understood "the level of frustration" and "attached are some documents that I will  
18 discuss in a following email that will be encrypted" and "[f]rom this point forward only  
19 communicate with me by this means." Attached to the email was: (1) the applications  
20 MARC TURI and TURI DEFENSE GROUP submitted seeking to broker weapons on  
21 behalf of the TNC that DDTC labeled BA-L085-11 and BA-L079-11; (2) the denials  
22 DDTC issued, two months earlier, related to these applications; and (3) the application  
23 MARC TURI and TURI DEFENSE GROUP submitted seeking to broker arms on behalf  
24 of the Qatari government.

25  
26 (t) On or about May 31, 2011, MARC TURI sent an encrypted electronic email to  
27 Y.A. and A.Y. titled "Response". In the email MARC TURI indicated that: (1) "the  
28

1 purpose of the deal was to get State Department Approval”; (2) “[s]ince the State  
2 Department denied Libya we had to choose another country and that was Qatar”; (3)  
3 “[a]nd we now are the only company with approval to deliver \$267,000,000 of equipment  
4 to Qatar”; (4) “we have also established a plan to have the Qataris reimbursed for the  
5 deal”; (5) “[h]ow Qatar is reimbursed that will be discussed privately with our banker”;  
6 (6) “[t]here is nothing the Libyans need to do in this deal with the exception of providing  
7 a guarantee of oil reserves to my banker so that Qatar can get reimbursed for their  
8 payment to us”; (7) “[k]eep in mind the reason why the equipment is going to Qatar is  
9 because Nato controls Eastern Europe”; (8) “[i]f the United States is not in agreement  
10 with the country of destination no equipment will get past any export committee in  
11 Eastern Europe”; (9) “[t]hat is why Qatar was chosen”; and (10) “[o]nce the equipment  
12 leaves Eastern Europe it is now in Arab hands.”

13  
14 (u) On or about June 2, 2011, MARC TURI contacted a former State Department  
15 Official who was previously stationed in Qatar and described his planned weapons deal  
16 to Libya, which was to be transshipped through Qatar, and financed by the Qataris’ in  
17 exchange for Libyan oil.

18  
19 (v) In June, 2011, MARC TURI representing the TURI DEFENSE GROUP met with  
20 M.E.D. and others in Dubai to discuss using the U.A.E. as a purported end user in place  
21 of Qatar for the TNC / Libyan arms deal.

22  
23 (w) On or about June 10, 2011, MARC TURI sent an encrypted electronic mail titled  
24 “Qatar” to an individual associated with A.B.G. In the electronic mail MARC TURI  
25 indicated that he had “been on this deal since the beginning for the new side”, that they  
26 had “everything in place”, but that they needed a “guarantee that there is oil to back the  
27 deal” because [his] banker is in Dubai and “will fund at least 80% of the transaction value  
28

1 as long as there is oil.” MARC TURI also stated that: (1) he had attached “the only US  
2 Dept. of State approval for this transaction”; (2) he had provided “a credible, legal supply  
3 chain out coming out of NATO countries”; (3) [o]nce the supply gets into the Arab world  
4 its in the Arab world and stays their” noting [o]utside of international scrutiny”; (4) “[w]e  
5 tried getting approval directly with the Libyan transitional council but they denied us . . .  
6 so we came up with the alternative” noting ‘the deal is with Qatar”; and (5) “[a]ll of the  
7 supply in Eastern Europe we control at this point because we have managed to do the  
8 deal the right way.”

9  
10 (x) On or about June 11, 2011, MARC TURI and TURI DEFENSE GROUP  
11 submitted a "Letter of Prior Approval" to the DDTC that listed an individual associated  
12 with A.B.G. as an additional party and sought permission to conduct brokering activities  
13 on behalf of the United Arab Emirates Ministry of Defense involving \$267 million U.S.  
14 dollars’ worth of Eastern European supplied light and heavy armament and ammunition  
15 to support that armament. The weapons involved were the same as those listed in DDTC  
16 application BA-L110-11, on which Qatar was listed as the end user. DDTC labeled this  
17 application BA-L189-11.

18  
19 (y) On or about June 12, 2011, MARC TURI sent an encrypted electronic mail to an  
20 individual associated with A.B.G. titled “UAE”. In the electronic mail, MARC TURI  
21 indicated that he “submitted this document yesterday to State Department for UAE  
22 approval if we dont use Qatar.” The documents attached were the same documents  
23 submitted to the DDTC related to BA-L189-11.

24  
25 (z) On or about June 20, 2011, MARC TURI sent an encrypted electronic mail to an  
26 individual associated with A.B.G. titled “update”. In the electronic mail, MARC TURI  
27 indicated that “I just got off with my guys working on the letter and they indicated two  
28

1 confirmation letters and 1 request were sent to Qatar for Mahmoud jibril to sign”, and  
2 “they confirmed one of two things will happen today either Jibril will sign or we will  
3 receive a confirmation from one of the council members.”  
4

5 (aa) On or about June 21, 2011, Y.A. forwarded an unencrypted electronic mail to  
6 MARC TURI and requested that MARC TURI advise him. The electronic mail  
7 indicated: (1) “NOW WE ARE MORE THAN HALFWAY DONE WE NEED TO  
8 AGREE ON SOME THINGS . . .”; (2) “DOCUMENTS (INVOICE, AGREEMENTS. .  
9 ETC), LEFT BENGHAZI ON QATARI AIR LINE ON 1:20 LIBYA TIME, AND  
10 ARRIVED 5 HOURS LATER”; (3) “DR MAHMOUD . . . CONFIRMED RECEIVING  
11 THOSE”; (4) “THE LIBYAN GROUP IS REQUESTING . . . 10% OF THE TOTAL OF  
12 ANYTHING IS DONE THROUGH TURI GROUP”; (5) “A BANK GUARANTEE  
13 BEFORE FINALIZING THIS DEAL”; and (6) “ONCE AGREEMENT SECURED, A  
14 MEETING WILL BE GRANTED BETWEEN MR. MARC AND DR MAHMOUD”.  
15

16 (bb) On or about June 21, 2011, MARC TURI sent an encrypted electronic mail to an  
17 individual associated with A.B.G. titled “FYI”. In the electronic mail, MARC TURI  
18 expressed extreme concern for the “operational security” of his Libyan weapons deal, for  
19 which he was the supplier, in that the Libyan component “talk way too much and to the  
20 wrong people. They can not be trusted to keep their mouths shut and this is a problem. . .  
21 and requesting a document from them has brought attention to me on a subject that the  
22 US Dept. of State has denied me from dealing with” and placed him in a “compromising  
23 situation” because MARC TURI had been denied from dealing with Libya.  
24

25 (cc) On or about June 22, 2011, MARC TURI sent an encrypted electronic mail to  
26 Consultant #3 titled “Today”. In the electronic mail, MARC TURI noted that he  
27 “managed today to get control over the situation”, they “should have everything wrapped  
28

1 up tonight and tomorrow”, they “were able to by pass the clowns and get to the  
2 Chairman of the council,” and they “have a followup discuss in the next couple of hours.”  
3

4 (dd) On or about June 22, 2011, an individual associated with A.B.G. sent an encrypted  
5 email to MARC TURI titled “RE: update”. In the email the individual noted that “there  
6 are new updates,” “[t]hey found ways to ship direct but in small quantities”, “[t]hey still  
7 need the letter but we need to add that NTC gaurantee the amount to the UAE”, there are  
8 “funds cash in UAE from Libya accs. seized by UAE government” “[t]hey are willing if  
9 NTC gaurantee to take them and pay for goods”, “[t]his is the only and fastest way to do  
10 it”, and “[t]hey are not loosing anything cause for them these funds are lost so this should  
11 be a good deal for them.”  
12

13 (ee) On or about June 22, 2011, MARC TURI sent encrypted emails to A.Y. and an  
14 associate of Y.A. with a draft of a “Confirmation Letter” attached. The letter was drafted  
15 for the signature of Mahmoud Jibril, Prime Minister, Libyan National Transitional  
16 Council, Benghazi Libya, and included a list of arms nearly identical to the list attached  
17 to DDTC applications BA-L085-11 and BA-L079-11, and identical to BA-L110-11 and  
18 BA-L189-11. The Confirmation Letter noted that “[t]his letter confirms the Libyan  
19 Republic Interim Transitional National Council & Opposition Forces remain interested in  
20 the supply listed below that was submitted to the U.S. Department of State back in March  
21 2011”, and indicated that “[t]he equipment will be utilized to defend the rights of the  
22 people of Libya”.  
23

24 (ff) On or about June 24, 2011, M.W. sent A.Y. an email indicating that individuals  
25 associated with the TNC had approved the proposed weapons list.  
26

27 (gg) On and about June 29, 2011, MARC TURI sent an encrypted email to an  
28



1 individual associated with the A.B.G. with a draft of a "Confirmation and Guarantee  
2 Letter" attached. The letter was drafted for the signature of Mustafa Abdul Jalil,  
3 Chairman, Libyan National Transitional Council, Benghazi Libya, and included a list of  
4 arms nearly identical to the list attached to DDTC applications BA-L085-11 and BA-  
5 L079-11, and identical to BA-L110-11 and BA-L189-11. The Confirmation and  
6 Guarantee Letter noted that "[t]his letter confirms the Libyan National Transitional  
7 Council remains interested in the supply listed below" and indicated that "[t]he Libyan  
8 National Transitional Council guarantees to the UAE Government the repayment of the  
9 total value of the list below and agrees to discuss a repayment mechanism at a later date."

10  
11  
12 **COUNT 1**

13 **(Violation of the Arms Export Control Act**

14 **22 U.S.C. § 2778(c); 22 C.F.R. §§ 126.1, 127.2 and 127.3.)**

15 25. The factual allegations in paragraphs 1 - 24 of the Indictment are incorporated by  
16 reference and re-alleged as though fully set forth herein.

17 26. On or about March 29, 2011, in the District of Arizona and elsewhere, MARC  
18 TURI and TURI DEFENSE GROUP, in an application for a license to broker weapons,  
19 did willfully and knowingly make and cause to be made a material untrue statement and  
20 omitted a material fact required to be stated therein by listing the Government of Qatar as  
21 the end user of certain described USML items when, in fact, MARC TURI and TURI  
22 DEFENSE GROUP then knew that the actual intended end user of the listed USML items  
23 were individuals in Libya.

24 All in violation of Title 22, United States Code, Section 2778(c), and Title 22  
25 Code of Federal Regulations, Sections 126.1, 127.2, and 127.3.

**COUNT 2**

**(Violation of Submitting a False Document to a Government Agency**

**18 U.S.C. § 1001(a)(3)**

27. The factual allegations in paragraphs 1 - 24 of the Indictment are incorporated by reference and re-alleged as though fully set forth herein.

28. On or about March 29, 2011, in the District of Arizona and elsewhere, MARC TURI and TURI DEFENSE GROUP, did willfully and knowingly make and cause to be made, and use and cause to be used, in a matter within the jurisdiction of a department or agency of the United States, that is the U.S. Department of State, Directorate of Defense Controls, a false writing or document, knowing the same to contain a materially false, fictitious, and fraudulent statement. MARC TURI and TURI DEFENSE GROUP, in an application for a license to broker weapons, did willfully and knowingly make and cause to be made a material false, fictitious, and fraudulent statement therein by listing the Government of Qatar as the end user of certain described USML items when, in fact, MARC TURI and TURI DEFENSE GROUP then knew that the actual intended end user of the listed USML items were individuals in Libya.

All in violation of Title 18, United States Code, Section 1001(a)(3).

**COUNT 3**

**(Violation of the Arms Export Control Act**

**22 U.S.C. § 2778(c); 22 C.F.R. §§ 126.1, 127.2 and 127.3.)**

29. The factual allegations in paragraphs 1 - 24 of the Indictment are incorporated by reference and re-alleged as though fully set forth herein.

1 30. On or about June 11, 2011, MARC TURI and TURI DEFENSE GROUP, in the  
2 District of Arizona and elsewhere, and in an application for a license to broker weapons,  
3 did willfully and knowingly make and cause to be made a material untrue statement and  
4 omitted a material fact required to be stated therein by listing the Government of United  
5 Arabs Emirates as the end user of certain described USML items when, in fact, MARC  
6 TURI and the TURI DEFENSE GROUP then knew that the actual intended end user of  
7 the listed USML items were individuals in Libya.

8 All in violation of Title 22, United States Code, Section 2778(c), and Title 22  
9 Code of Federal Regulations, Sections 126.1, 127.2, and 127.3.

10  
11 **COUNT 4**

12 **(Violation of Submitting a False Document to a Government Agency**

13 **18 U.S.C. § 1001(a)(3))**

14 31. The factual allegations in paragraphs 1 - 24 of the Indictment are incorporated by  
15 reference and are alleged as though fully set forth herein.

16 32. On or about June 11, 2011, MARC TURI and TURI DEFENSE GROUP, in the  
17 District of Arizona and elsewhere, did willfully and knowingly make and cause to be  
18 made, and use and cause to be used, in a matter within the jurisdiction of a department or  
19 agency of the United States, that is the U.S. Department of State, Directorate of Defense  
20 Controls, a false writing or document, knowing the same to contain a materially false,  
21 fictitious, and fraudulent statement. MARC TURI and TURI DEFENSE GROUP, in an  
22 application for a license to broker weapons, did willfully and knowingly make and cause  
23 to be made a material false, fictitious, and fraudulent statement by listing the Government  
24 of United Arabs Emirates as the end user of certain described USML items when, in fact,  
25  
26  
27  
28

1 MARC TURI and the TURI DEFENSE GROUP then knew that the actual intended end  
2 user of the listed USML items were individuals in Libya.

3 All in violation of Title 18, United States Code, Section 1001(a)(3).  
4

5 A TRUE BILL  
6

7 /s/

8 FOREPERSON OF THE GRAND JURY  
9 Date: February 11, 2014

10 JOHN S. LEONARDO  
11 United States Attorney  
District of Arizona

12 /s/

13 DAVID PIMSNER  
14 KRISTEN BROOK  
15 Assistant U.S. Attorneys  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28